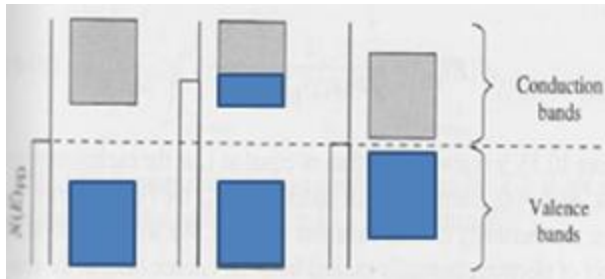
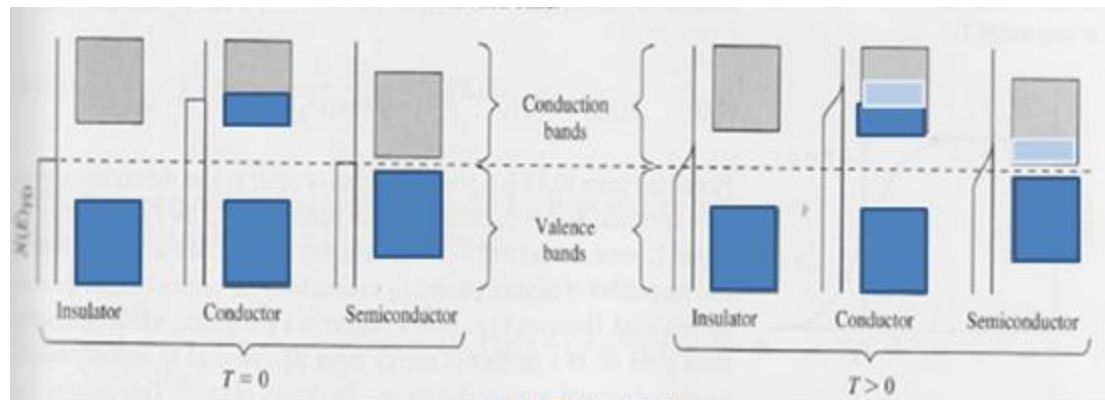


# Lecture 13 Topics

- Charge carriers
  - Conduction electrons
  - Valence holes
  - Effective mass
- Extrinsic semiconductors
  - n-type
  - p-type
- Semiconductor devices
  - Diode
  - Transistor
- Superconductivity
  - Characteristics: Perfect conductivity and perfect diamagnetism
  - Type 1, Type 2, and High T<sub>c</sub> Superconductors
  - BCS Theory and Cooper Pairs



At  $T = 0$



At  $T = 0$

$T > 0$

$$\mathcal{N}(E) = \frac{1}{e^{(E-E_F)/k_B T} + 1} \quad D(E) = D$$

$$N_{Valence} = \int_{E_{valence-bottom}}^{E_{valence-top}} \mathcal{N}(E) D(E) dE$$

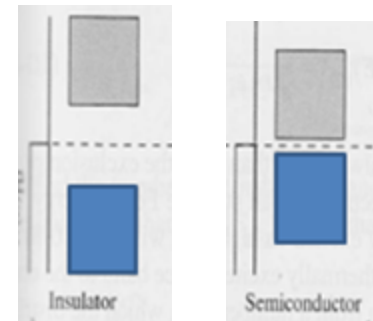
$$= \int_{E_{valence-bottom}}^{E_{valence-top}} 1 \cdot D dE = D \Delta E_{valence}$$

$$N_{Excited} = \int_{E_F + \frac{1}{2} E_{gap}}^{\infty} \mathcal{N}(E) D(E) dE$$

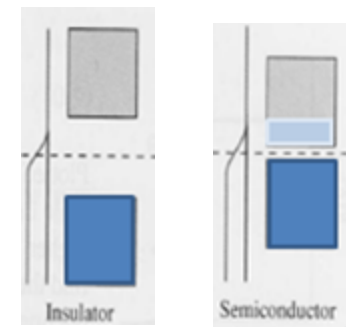
$$= \int_{E_F + \frac{1}{2} E_{gap}}^{\infty} \frac{D}{e^{(E-E_F)/k_B T} + 1} dE = D k_B T \ln(1 + e^{-E_{gap}/2k_B T}) \sim D k_B T e^{-E_{gap}/2k_B T}$$

$$\frac{N_{Excited}}{N_{Valence}} = \frac{D k_B T e^{-E_{gap}/2k_B T}}{D \Delta E_{valence}} = \frac{k_B T}{\Delta E_{valence}} e^{-E_{gap}/2k_B T}$$

0.0026 eV



T=0



T > 0

Insulator: 5 eV

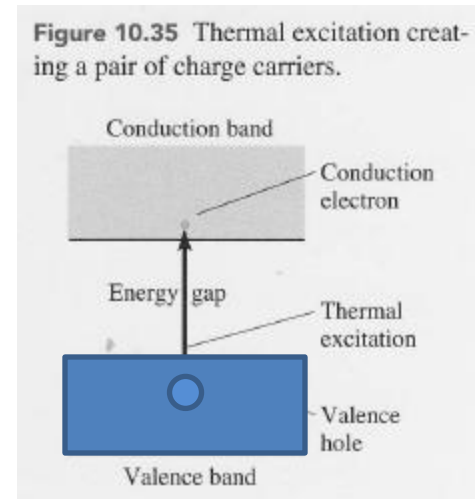
$\sim 10^{-42}$

Semiconductor: 1 eV

$\sim 10^{-8}$

# Two types of charge carriers

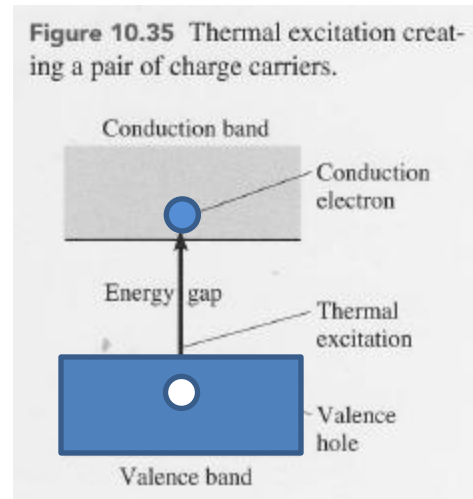
Conduction band electrons



# Two types of charge carriers

Conduction band electrons

Valence band holes

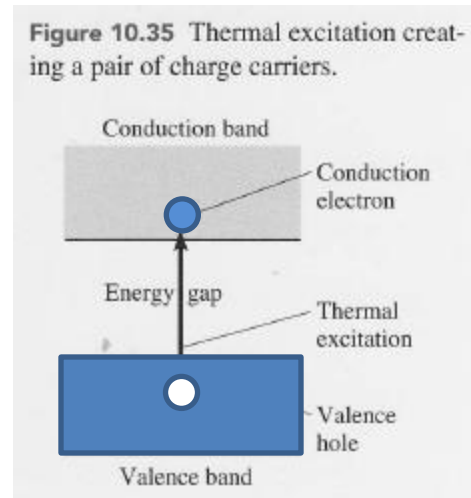


# Two types of charge carriers

Conduction band electrons

Valence band holes

- created by electrons left the valence band at  $T > 0$
- Behave like positive charge carriers
- Are free to move in the valence band
- Are always found near the top of the valence band



# Two types of charge carriers

Conduction band electrons

Valence band holes

- created by electrons left the valence band at  $T > 0$
- Behave like positive charge carriers
- Are free to move in the valence band
- Are always found near the top of the valence band

Figure 10.35 Thermal excitation creating a pair of charge carriers.

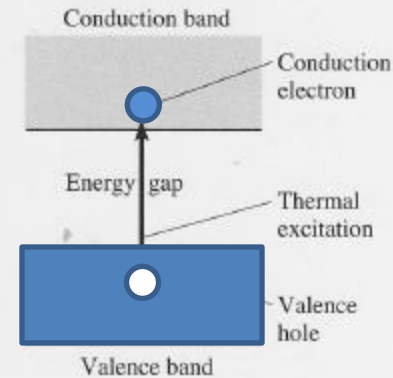
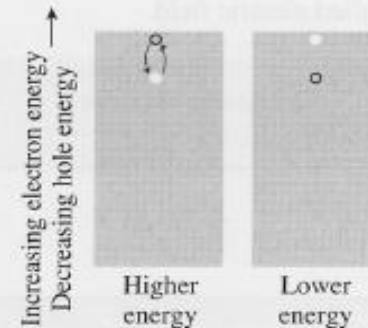


Figure 10.36 Holes float.



# Effective Mass

$m_{\text{eff}}$  : the ratio between external force and acceleration

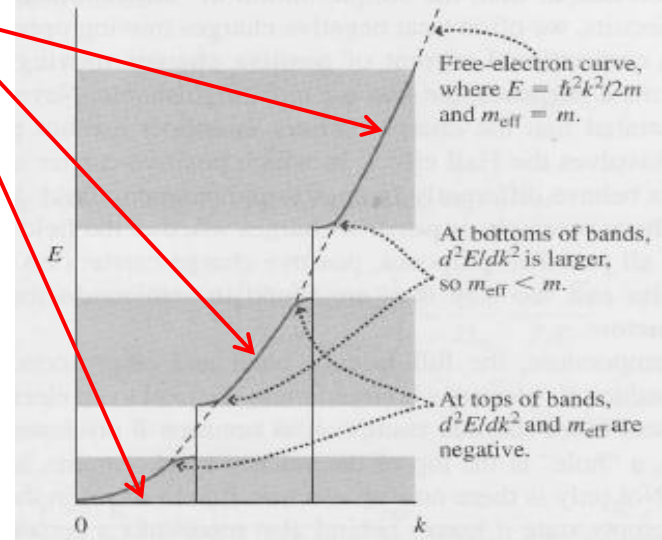
$$m_{\text{eff}} = \hbar^2 \left( \frac{d^2 E}{dk^2} \right)^{-1}$$

For free electrons,

$$E = \frac{\hbar^2 k^2}{2m}$$

$m_{\text{eff}} =$

**Figure 10.37** Energy versus wave number for electrons in a one-dimensional crystal. An electron's effective mass depends on what state it occupies.



# Effective Mass

$m_{\text{eff}}$  : the ratio between external force and acceleration

$$m_{\text{eff}} = \hbar^2 \left( \frac{d^2 E}{dk^2} \right)^{-1}$$

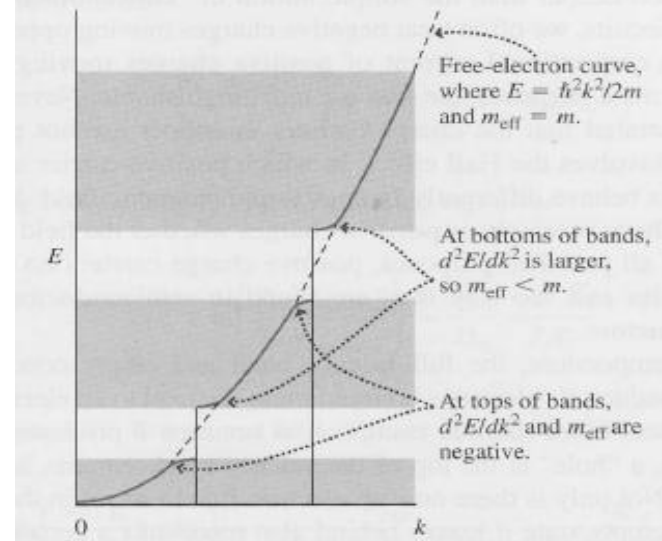
For free electrons,

$$E = \frac{\hbar^2 k^2}{2m}$$

$$m_{\text{eff}} = m$$

At the top of each band

**Figure 10.37** Energy versus wave number for electrons in a one-dimensional crystal. An electron's effective mass depends on what state it occupies.



# Effective Mass

$m_{\text{eff}}$  : the ratio between external force and acceleration

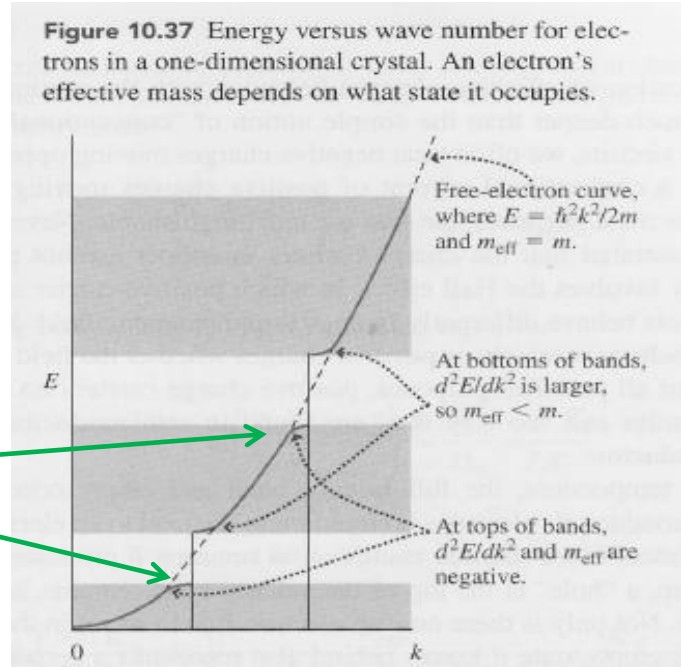
$$m_{\text{eff}} = \hbar^2 \left( \frac{d^2 E}{dk^2} \right)^{-1}$$

For free electrons,  $E = \frac{\hbar^2 k^2}{2m}$

$$m_{\text{eff}} = m$$

At the top of each band

$$\frac{d^2 E}{dk^2} < 0 \text{ thus, } m_{\text{eff}} < 0$$



# Effective Mass

$m_{\text{eff}}$  : the ratio between external force and acceleration

$$m_{\text{eff}} = \hbar^2 \left( \frac{d^2 E}{dk^2} \right)^{-1}$$

For free electrons,  $E = \frac{\hbar^2 k^2}{2m}$

$$m_{\text{eff}} = m$$

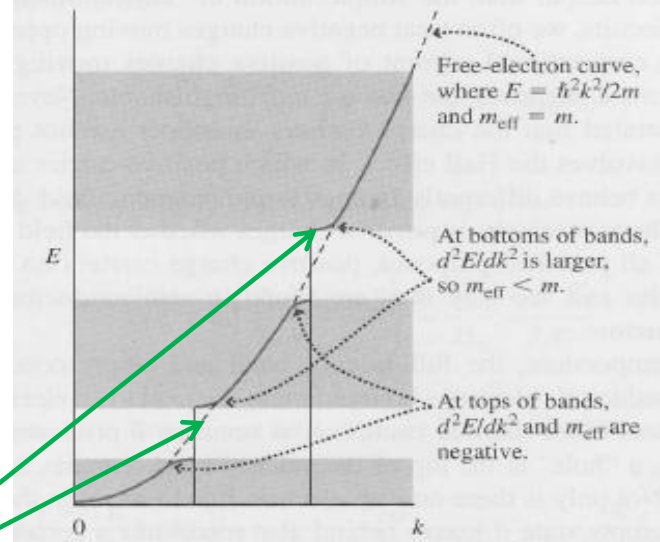
At the top of each band

$$\frac{d^2 E}{dk^2} < 0 \text{ thus, } m_{\text{eff}} < 0$$

At the bottom of each band

$$\left. \frac{d^2 E}{dk^2} \right|_{\text{bottom}} > \left. \frac{d^2 E}{dk^2} \right|_{\text{free electron}} \quad m_{\text{eff}} < m$$

Figure 10.37 Energy versus wave number for electrons in a one-dimensional crystal. An electron's effective mass depends on what state it occupies.



# Effective Mass

$m_{\text{eff}}$  : the ratio between external force and acceleration

$$m_{\text{eff}} = \hbar^2 \left( \frac{d^2 E}{dk^2} \right)^{-1}$$

For free electrons,  $E = \frac{\hbar^2 k^2}{2m}$

$$m_{\text{eff}} = m$$

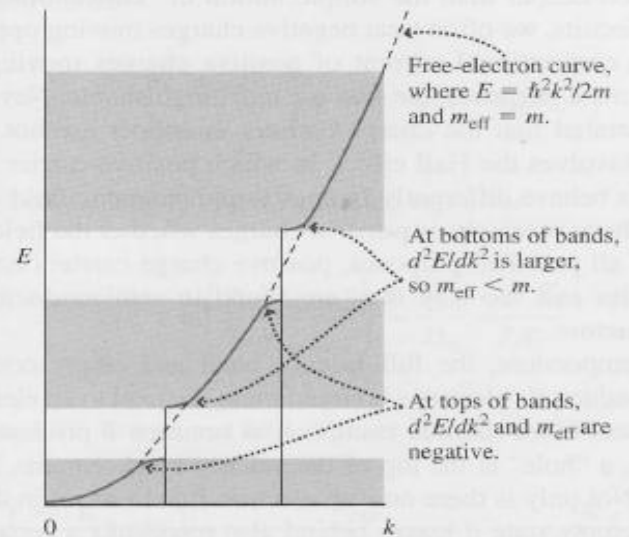
At the top of each band

$$\frac{d^2 E}{dk^2} < 0 \text{ thus, } m_{\text{eff}} < 0$$

At the bottom of each band

$$\left. \frac{d^2 E}{dk^2} \right|_{\text{bottom}} > \left. \frac{d^2 E}{dk^2} \right|_{\text{free electron}} \quad m_{\text{eff}} < m$$

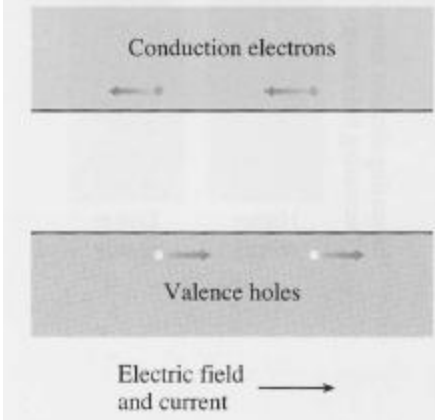
Figure 10.37 Energy versus wave number for electrons in a one-dimensional crystal. An electron's effective mass depends on what state it occupies.



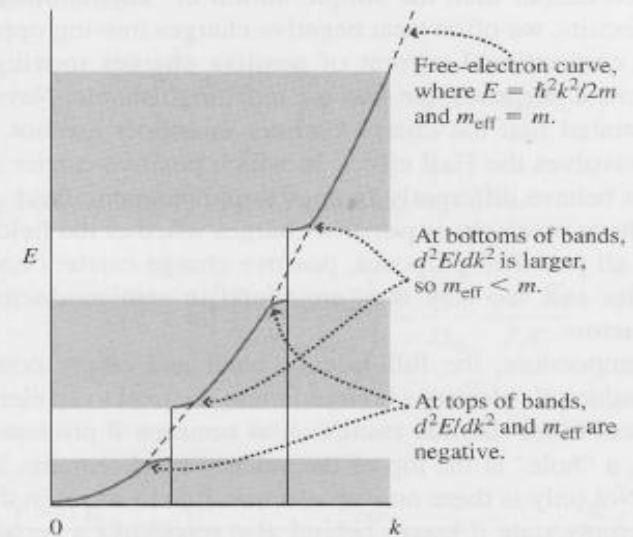
Use Holes to get the positive effective mass!!

# Current in an E field

**Figure 10.38** In a semiconductor at  $T > 0$ , both holes and electrons contribute to current in the direction of an applied electric field.



**Figure 10.37** Energy versus wave number for electrons in a one-dimensional crystal. An electron's effective mass depends on what state it occupies.



# Doping

- Intrinsic semiconductors
- Extrinsic semiconductors:
  - n-type:
    - Use elements with 5 valence electrons as impurities
    - Extra electron per impurity atom
  - p-type:
    - Use elements with 3 valence electrons as impurities
    - A hole per impurity atom

3	4	5	6
B	C	N	O
Al	Si	P	S
Ga	Ge	As	Se
In	Sn	Sb	Te
Tl	Pb	Bi	Po

# Doping

- Intrinsic semiconductors
- Extrinsic semiconductors:

– n-type:

- Use elements with 5 valence electrons as impurities
- Extra electron per impurity atom

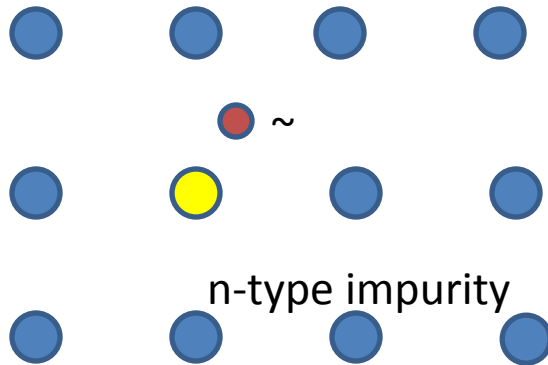
– p-type:

- Use elements with 3 valence electrons as impurities
- A hole per impurity atom

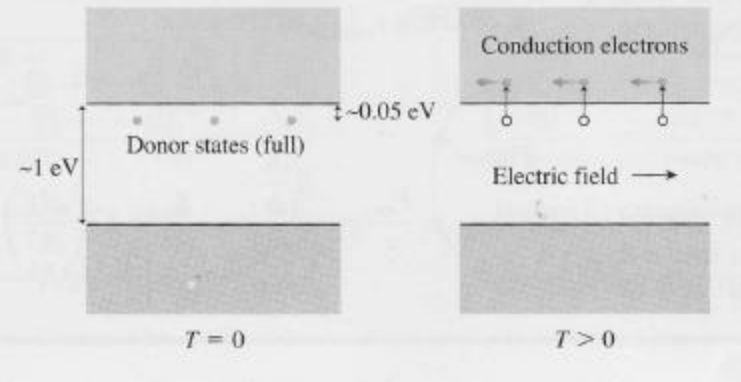
p		n	
3	4	5	6
B	C	N	O
Al	Si	P	S
Ga	Ge	As	Se
In	Sn	Sb	Te
Tl	Pb	Bi	Po

# n-type semiconductor

Si: Semiconductor

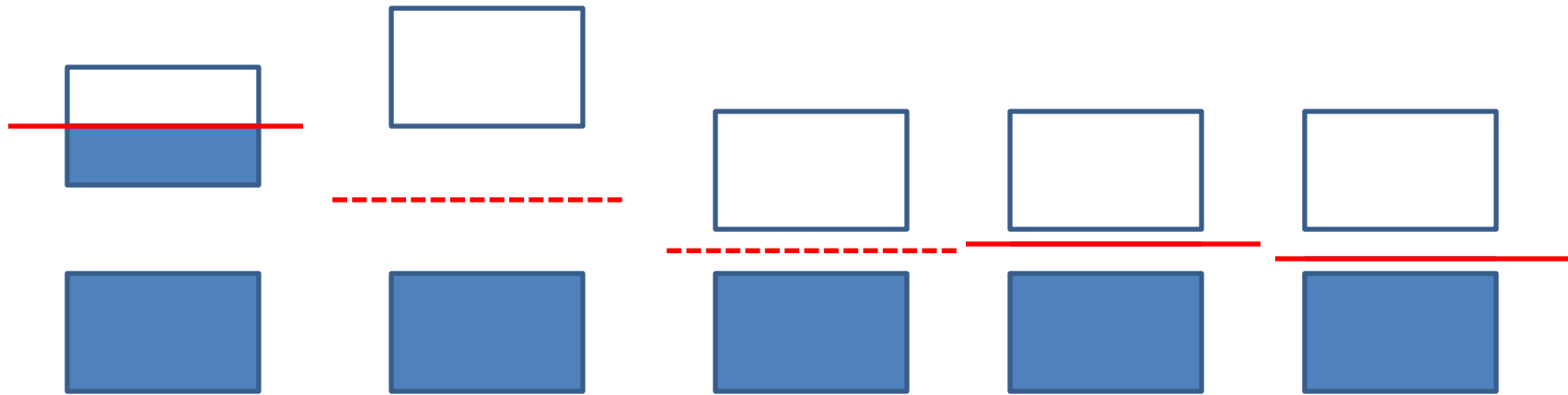


**Figure 10.39** At  $T = 0$ , extra electrons in an n-type semiconductor occupy donor states. At  $T > 0$ , they easily become conduction electrons.



- create donor states below the conduction band.
- electrons in the donor states can be easily excited to be in the conduction band.
- since impurities are sparse, the donor states do not form energy bands and do not harbor holes.
- in the conduction band, electrons from the donor states are more abundant than those from the valence band
- majority carrier in n-type  $\rightarrow$  conduction band electrons
- minority carrier in n-type  $\rightarrow$  holes in valence band

# Fermi Energy



Conductor

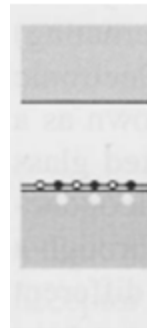
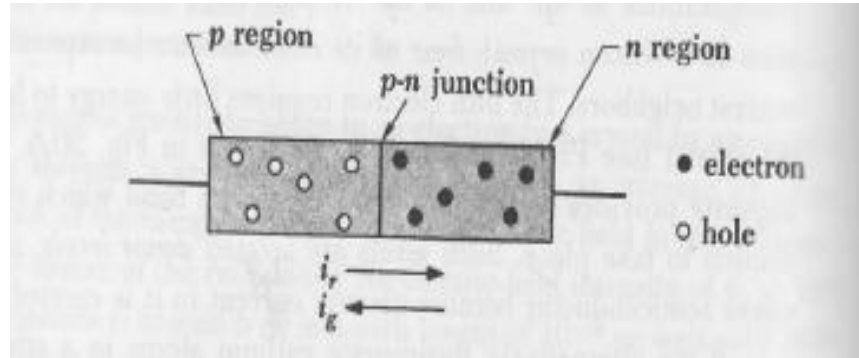
insulator

semiconductor

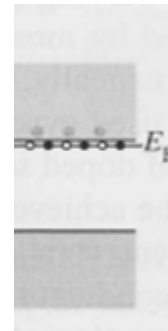
n-type

p-type

# Unbiased Diode (p-n)

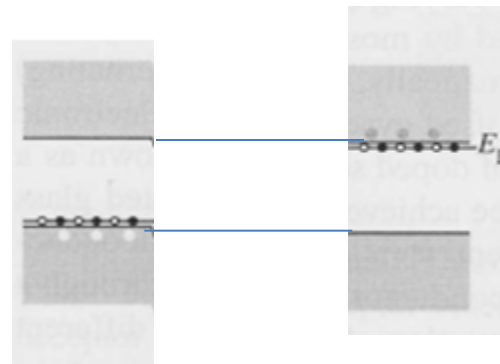
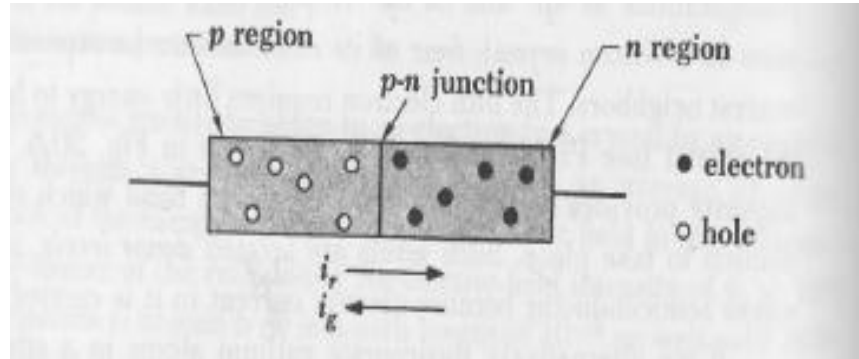


p-type



n-type

# Unbiased Diode (p-n)

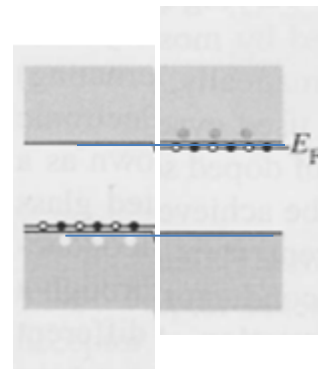
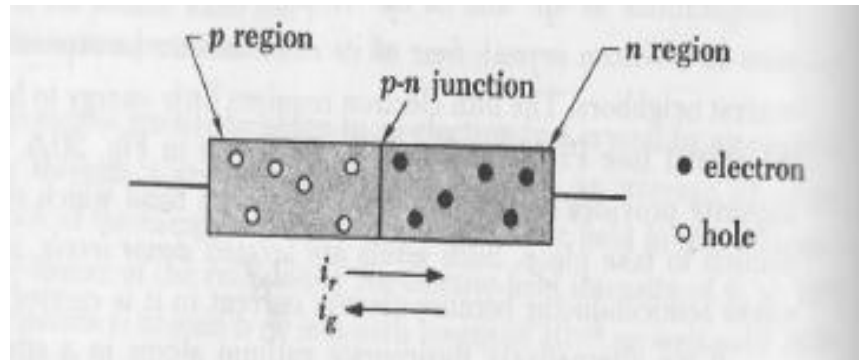


p-type

n-type

What happens when put together?

# Unbiased Diode (p-n)

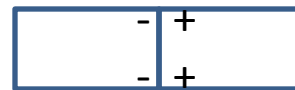
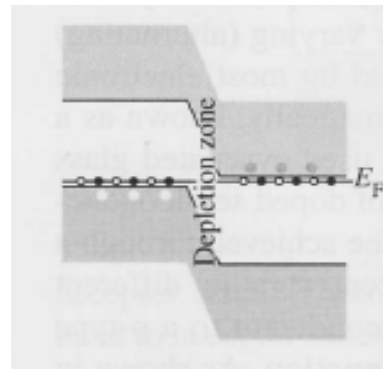
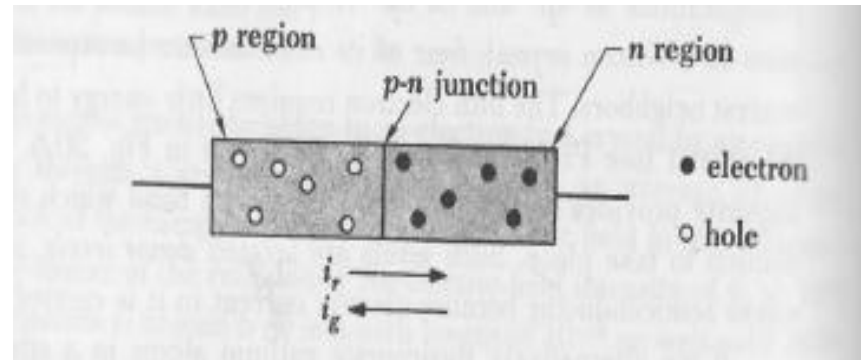


p-type

n-type

What happens when put together?

# Unbiased Diode (p-n)



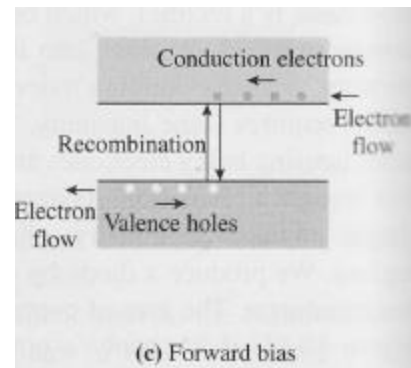
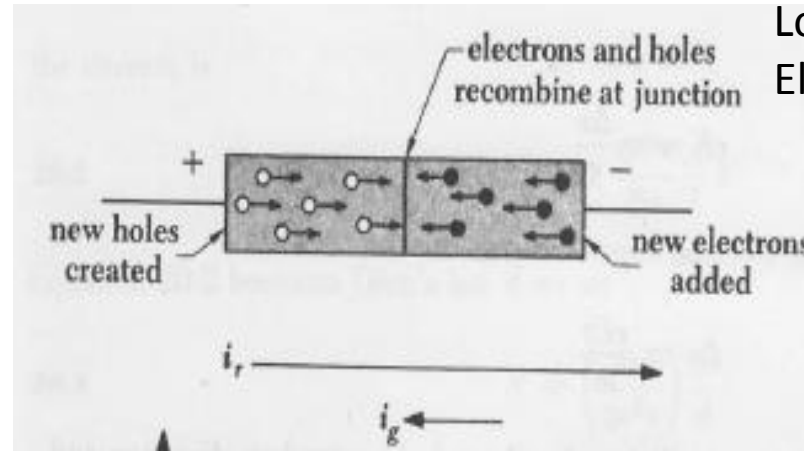
p-type

n-type

- Diffusion of excessive electrons/holes
- Coulomb interactions between new charge distributions resulting from diffusion of electrons and holes
- Depletion zone

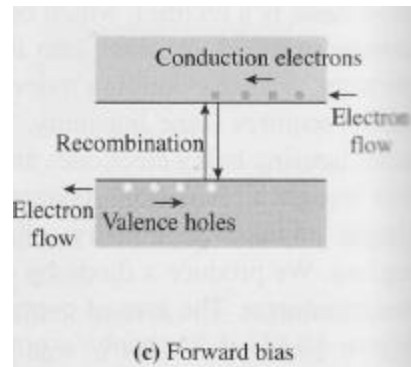
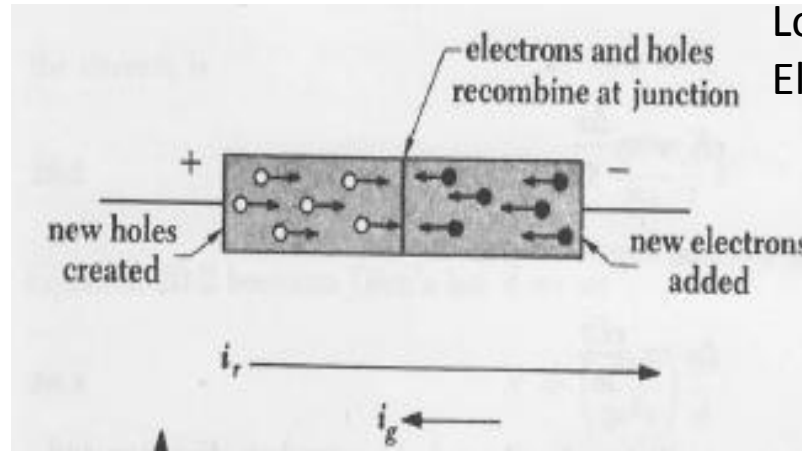
# Forward biased

Higher potential at p end  
Lower potential at n-end  
Electrons are supplied at n-end



# Forward biased

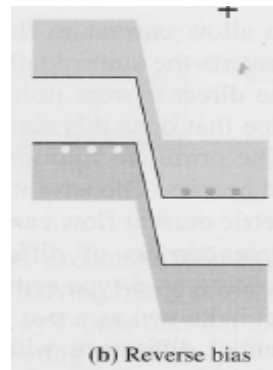
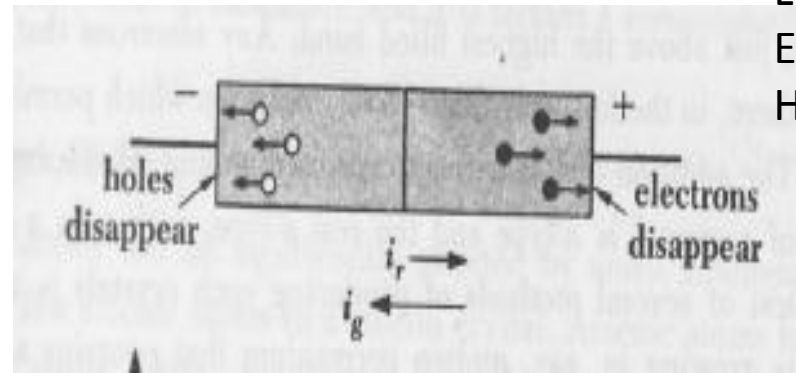
Higher potential at p end  
Lower potential at n-end  
Electrons are supplied at n-end



-electrons and holes recombine when they meet  
-current flows continuously  
-forward-biasing shifts the n-type conduction band upward, allowing higher energy conduction electrons to fill lower energy valence holes. Energy is lost to heat/light

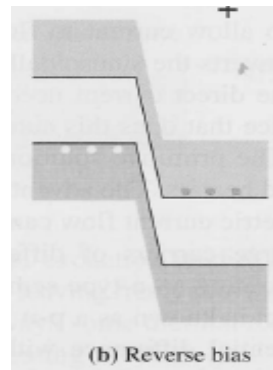
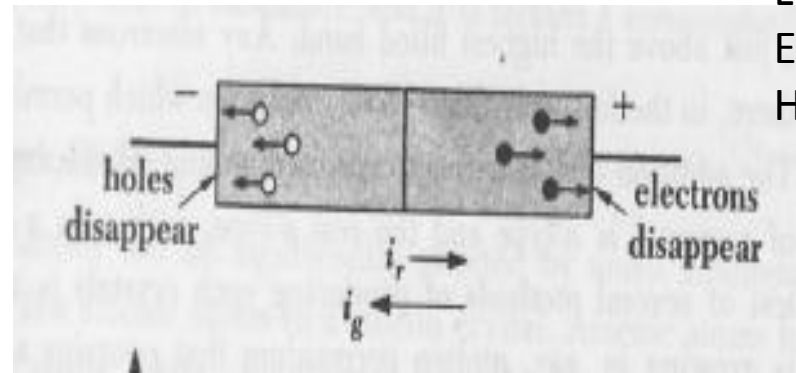
# Reverse Biased Diode

Higher potential at n- end  
Lower potential at p-end  
Electrons disappear at n-end  
Holes disappear at p-end



# Reverse Biased Diode

- Higher potential at n- end
- Lower potential at p-end
- Electrons disappear at n-end
- Holes disappear at p-end



- depletion region (no free charge carriers)
- current stops immediately
- reverse-biasing makes the potential difference more pronounced
- minor charge carriers (conduction electrons in p and valence holes in n) can recombine at a negligible rate

# Transistor (npn)

Figure 10.44 Bands and charge flow in a npn transistor.

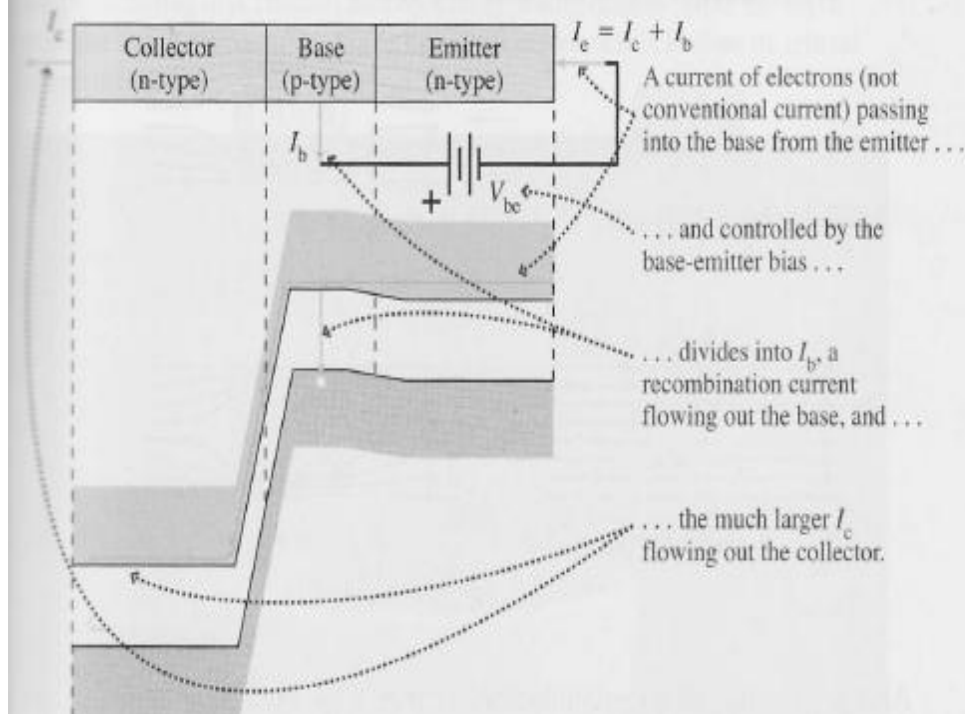
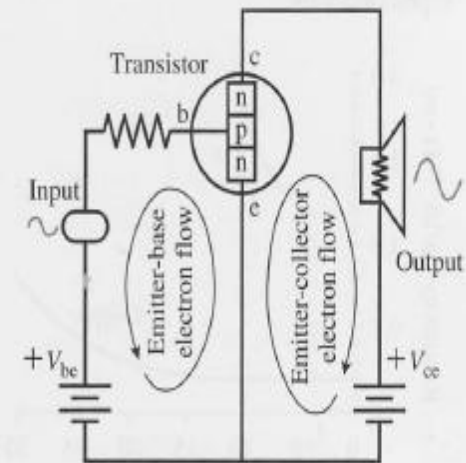


Figure 10.45 The elements of a transistor amplifier.

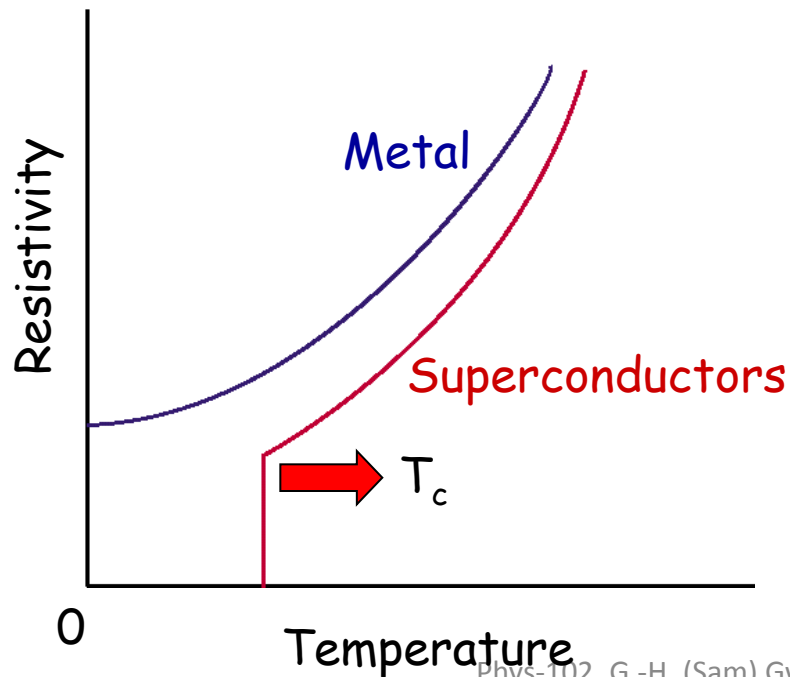


# Superconductivity: Essential Characteristics

**1911** K. Onnes Superconductivity in Hg

**1933** Meissner effect

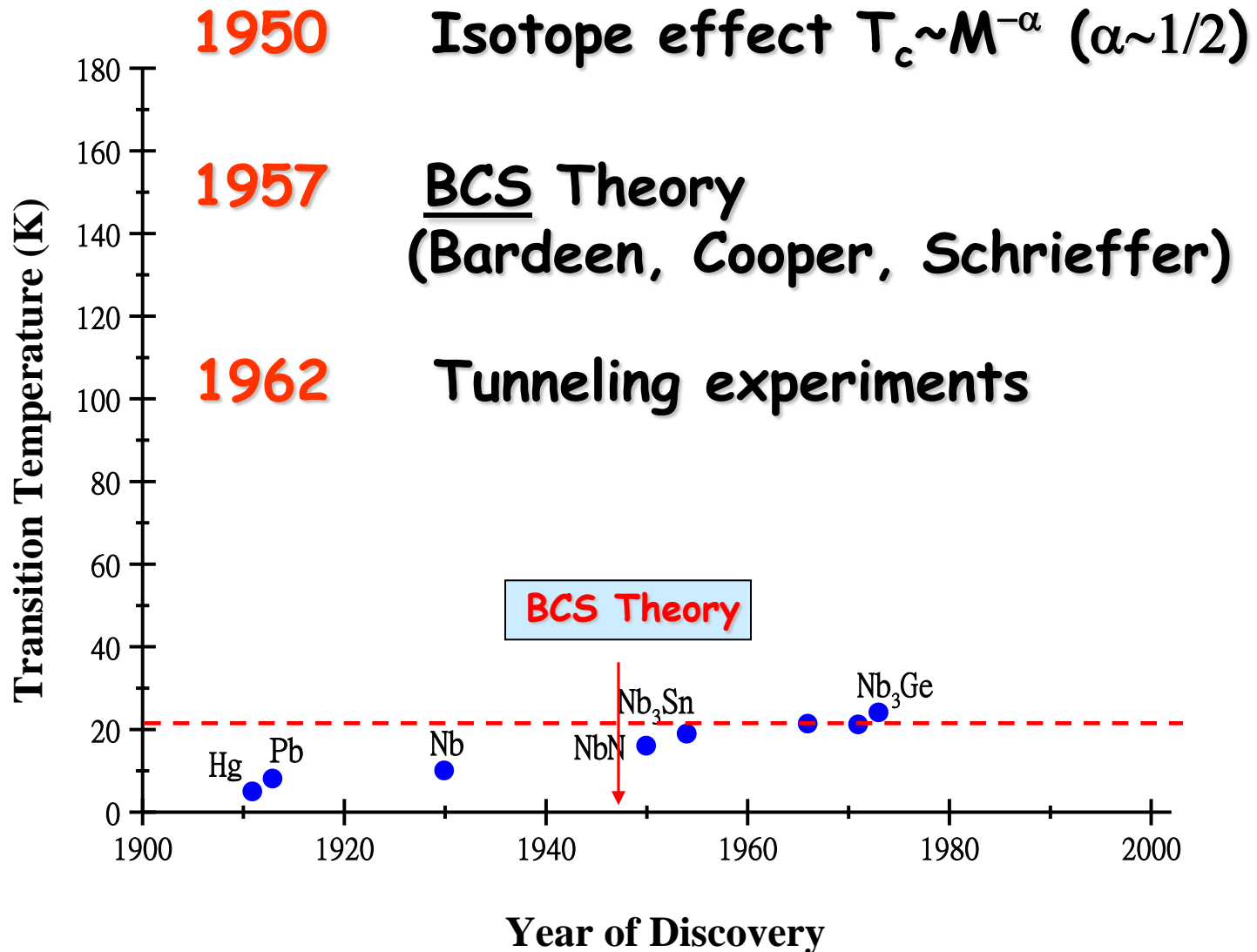
## RESISTANCELESS CONDUCTION



**MEISSNER EFFECT:**  
Perfect diamagnetism



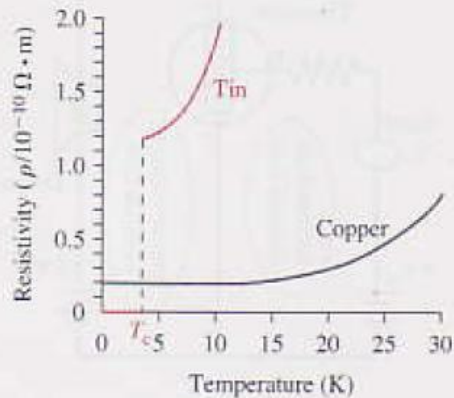
# Understanding of Superconductivity



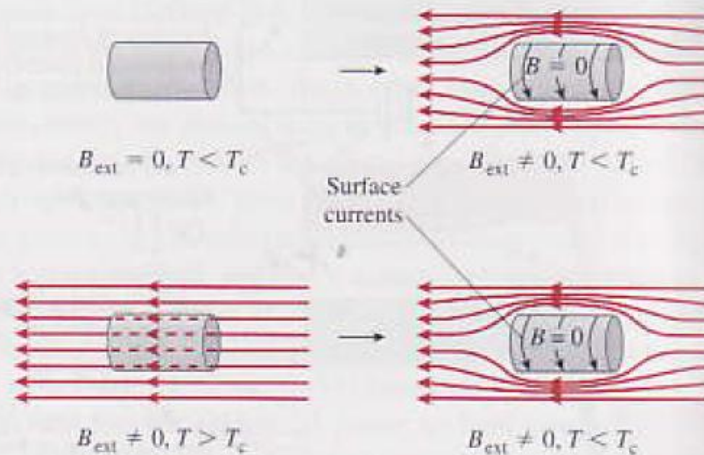
# Superconductivity

- Perfect conductivity—critical temperature ( $T_c$ )
- Perfect diamagnetism- critical B field ( $B_c$ )

**Figure 10.48** Copper always has electrical resistance, while tin becomes a superconductor.



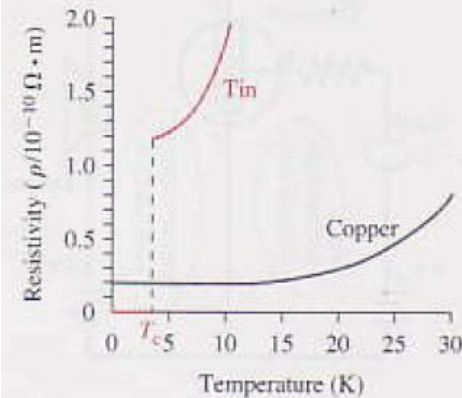
**Figure 10.49** When it drops below its critical temperature, a superconductor expels magnetic field lines.



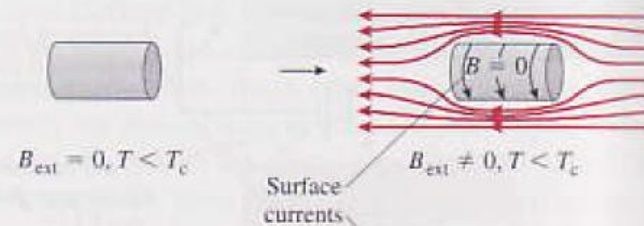
# Superconductivity

- Perfect conductivity—critical temperature ( $T_c$ )
- Perfect diamagnetism- critical B field ( $B_c$ )

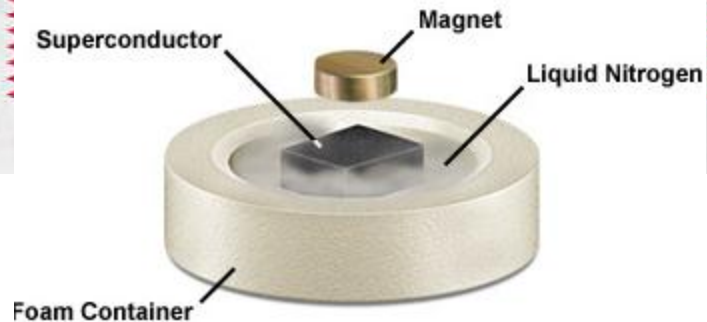
**Figure 10.48** Copper always has electrical resistance, while tin becomes a superconductor.



**Figure 10.49** When it drops below its critical temperature, a superconductor expels magnetic field lines.



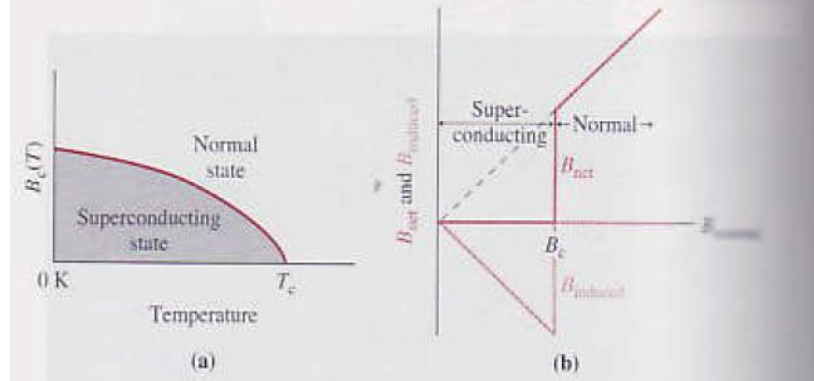
## The Meissner Effect



Superconductors repel magnets

# Type 1 Superconductors

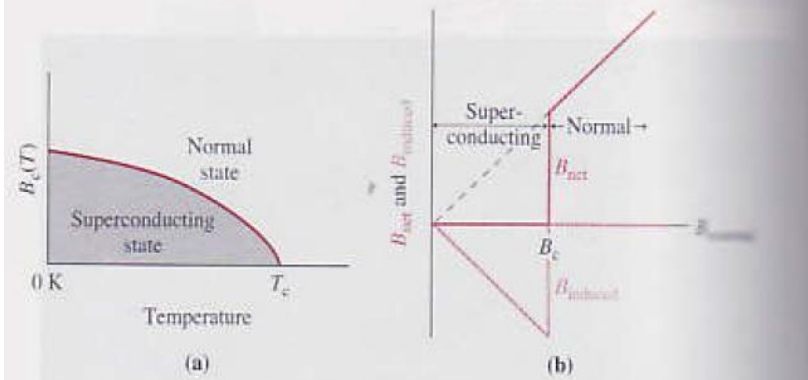
**Figure 10.51** A Type-I superconductor. (a) The critical field  $B_c$  decreases as  $T \rightarrow T_c$ . (b) When it is superconducting, the induced field perfectly opposes the external—the net field inside is 0.



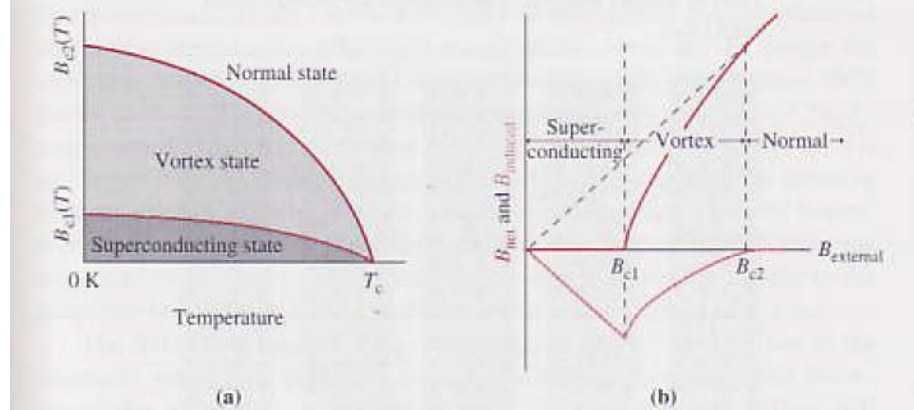
- Sharp transition at  $B_c$
- No B field in the superconducting material because  $B_{ext}$  is canceled by  $B_{induced}$  before  $B_c$
- After  $B_c$ , the superconducting material is in a normal state allowing  $B_{ext}$  to penetrate the material
- Superconducting elements tend to be Type 1
- $B_c$  is relatively low, 0.01-0.1 T
- $T_c$  is relatively low, 1-9 K

# Type 1 vs. 2 Superconductors

**Figure 10.51** A Type-I superconductor. (a) The critical field  $B_c$  decreases as  $T \rightarrow T_c$ . (b) When it is superconducting, the induced field perfectly opposes the external—the net field inside is 0.

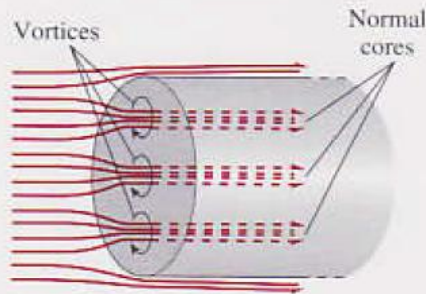


**Figure 10.54** In a Type-II superconductor, an external field increasing from  $B_{c1}$  would penetrate through an increasing density of vortices, until at  $B_{c2}$  the material is normal.

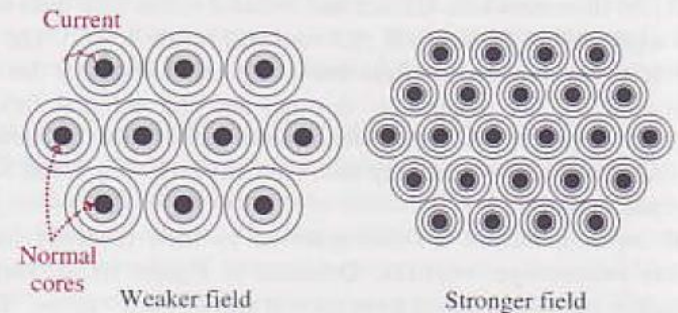


# Type 2 Superconductors

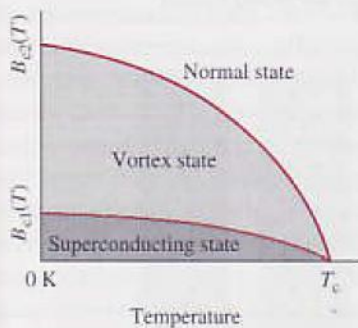
**Figure 10.52** Magnetic field lines passing through vortices in a type-II superconductor.



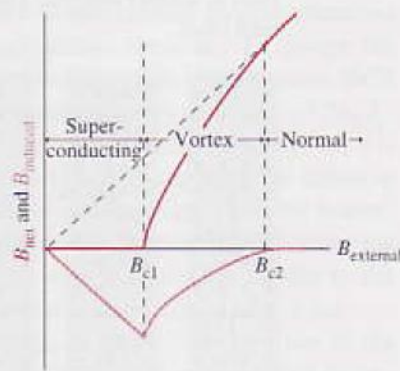
**Figure 10.53** Vortices become more dense as field strength increases. (Both represent  $B_{c1} < B_{\text{external}} < B_{c2}$ . See Figure 10.54.)



**Figure 10.54** In a Type-II superconductor, an external field increasing from  $B_{c1}$  would penetrate through an increasing density of vortices, until at  $B_{c2}$  the material is normal.



(a)



(b)

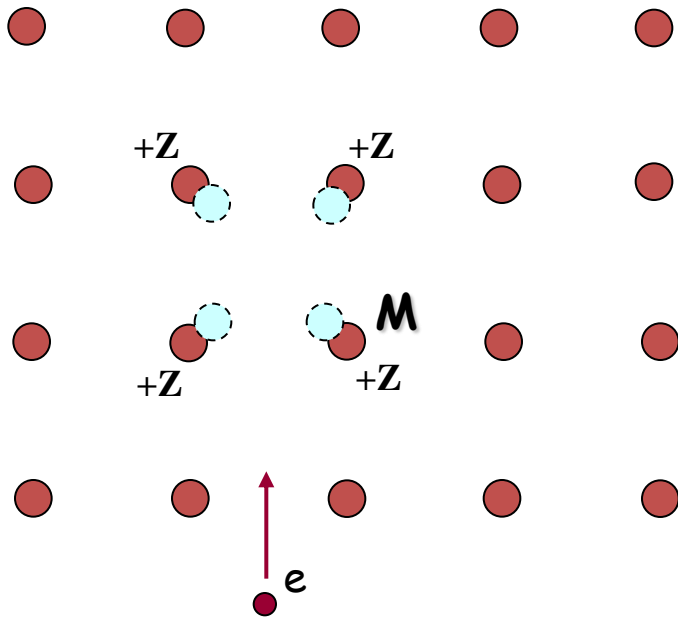
- Vortices: superconducting regions surrounding normal cores
- Stronger field makes vortices become more dense, allowing more field lines to penetrate.
- metallic compounds and alloys
- $B_c$  are 2-3 orders of magnitude greater than type 1
- $T_c$  is twice as high as type 1, around 20K

# BCS Theory

- Bardeen, Cooper, and Schrieffer (BCS)
- Explains Type 1 and Type 2 superconductors
- Lattice and electron interactions
- **Coherent** motion of the electrons in Cooper pairs
  - What is cooper pair?
  - How coherent motion is created?

# BCS theory

## PHONON MEDIATED PAIRING (phonon = lattice vibration)

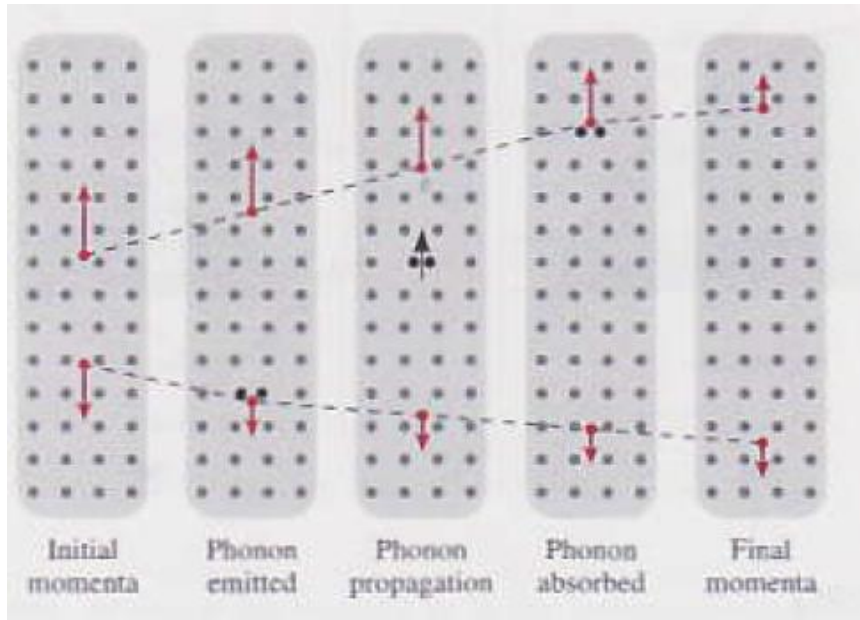


Pairs of electrons: **Cooper pairs**

Superconducting gap:  $\Delta$

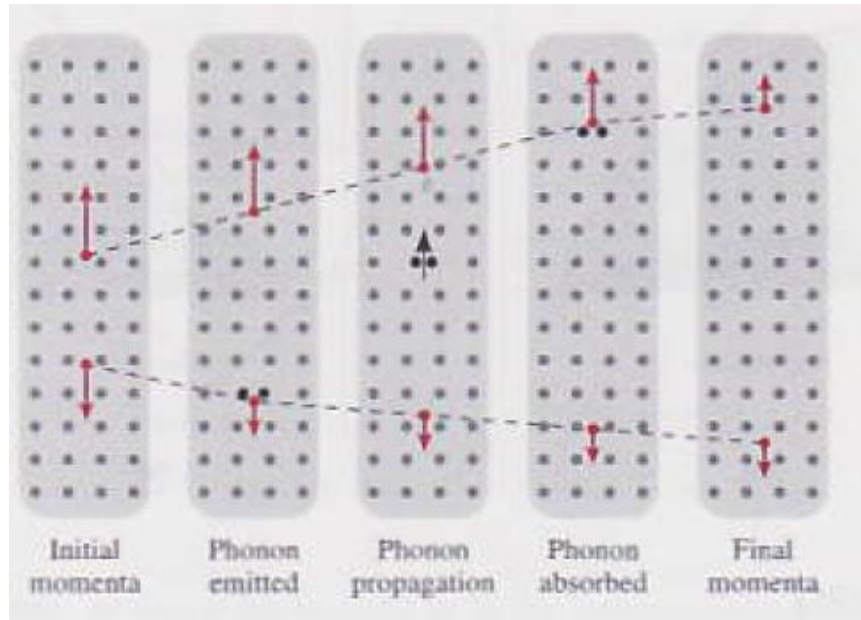
$$T_c \sim M^{-1/2}$$

# Cooper Pairs



Cooper paired electrons  
-are bosons as a pair  
-have opposite momenta  
-opposite spin directions

# Cooper Pairs



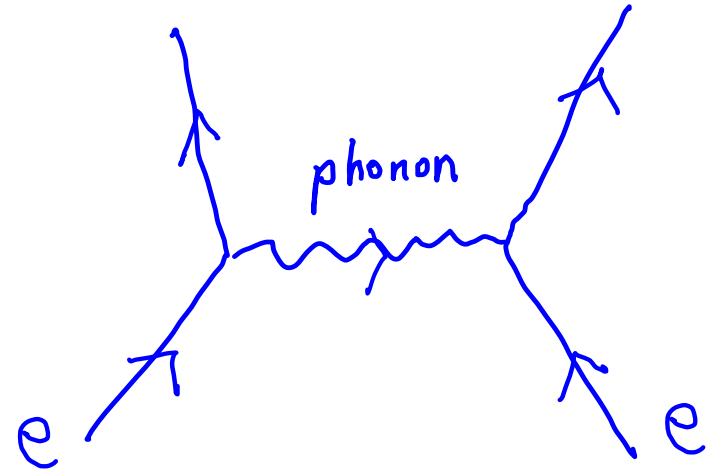
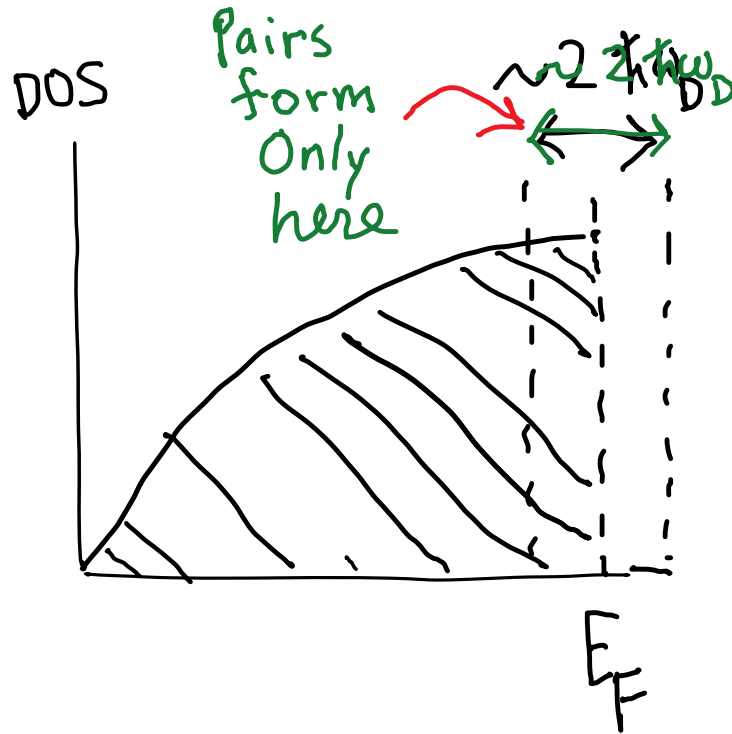
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- Paired electrons experience a net attraction by exchanging a phonon
- Lattice is involved

$$T_c \sim M^{-1/2}$$

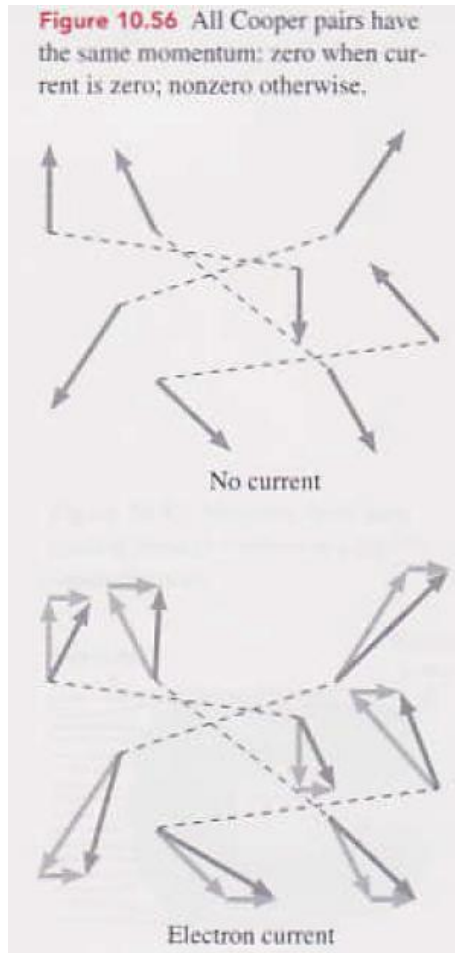
- This attractive force is small at the order of 0.001 eV which is greater than electron –electron repulsion
- the separation between the two paired electrons is greater than atomic spacing in the lattice

# How many electrons participate?



Only those electrons near  $E_F$  form Cooper pairs. These are the electrons with energies  $E = E_F \pm \hbar\omega_D$  where  $\omega_D$  is the Debye frequency (typical frequency for phonons). Why? Due to Pauli exclusion principle, electrons cannot scatter by absorbing or emitting a phonon when they are deep inside the Fermi sea ( $E \ll E_F$ ). So, only a fraction,  $O\left(\frac{\hbar\omega_D}{E_F}\right)$ , of total electrons participate in Cooper pairs.

# Coherent motion of Cooper pairs



- Cooper paired electrons
- are bosons as a pair
- have opposite momenta
- opposite spin directions
- binding energy between electrons in a Cooper pair is greater than typical thermal energy between electron and lattice ion at low temperatures
- thus, collisions are not going to stop electrons in cooper-pairs to move coherently
- Type 1 superconductors have longer mean free paths than Type 2 superconductors